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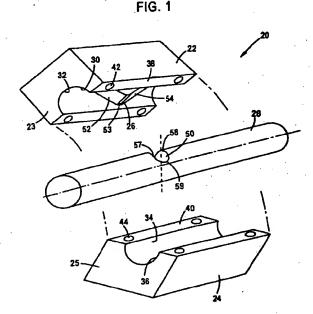
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#### (54) Optical fiber tap and method of tapping an optical signal from an optical cable

The present invention is directed to an appara-(57)tus and method for tapping an optical signal from an optical waveguide indenting or deforming the optical fiber. The optical waveguide can be an optical cable or optical fiber. Advantageously, the optical waveguide can be kept in a straight condition and not substantially weakened because the optical waveguide is indented or deformed, but not bent. The optical waveguide is indented using an indenting assembly having an upper member and a lower member. An indenting portion is positioned in the upper member. The optical fiber is indented when the upper member and the lower member are fastened together forming an indent in the optical waveguide. One or more reflective surfaces may be formed in the indent of the optical waveguide. The indenter may also have one or more reflective surfaces. One or more of the reflective surfaces can reflect a portion of the optical signal carried by the optical fiber either inwardly or outwardly relative to the optical waveguide into a corresponding light sensor positioned adjacent the optical waveguide.



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#### Description 4, . . . . .

#### Field of the Invention

to [0001] The present invention relates generally to optical fiber taps, and more particularly, to an optical fiber tap in which the optical fiber is deformed, but not fractured or cracked. Now 1000

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#### Background of the Invention

: :

6 4 6 7 3 4 2 5 6 [0002] There are many applications where it is desirable to have an optical signal from an optical cable at a node located at an intermediate portion of the optical cable. At each node in such networks, an optical tapextracts a portion of the through signal carried by the optical fiber. Such optical taps:typically extract the optical signal by reflecting the light into a light sensor. The optical signal can then be converted to an electrical sigto nall using known techniques. Such coptical taps are used, for example, in multiple-access, local area distribution networks.

[0003] An example of such an active optical fiber tap is disclosed in U.S. Patent No. 4;549,782. As illustrated in Figure 5 of the '782 patent, a pair of notchesare cut into an optical fiber. Each notch has a reflective surface for reflecting an optical signal carried by the optical fiber. The optical cable has an inner core (or fiber) region surrounded by an outer cladding of lower proprefractive index. The depth of the notch determines the page offibers ovalish to be continuously on the page of the page o amount of optical power deflected. The disadvantage of such an arrangement is that the notches significantly reduce the strength and durability of the optical fiber. Further, the notches are formed by a special process in which the fiber is mounted on a tap assembly and then as 35 of [0011] These and other objects of the present a mask is placed over the fiber exposing the later in the region of the desired notches. The notches are then reformed by a reactive ion etching process. The angle of the notch is controlled by tilting the entire assembly. Sig-Anificant skill and special tools are required for forming 0.40 as such, notches. But had been a subject to

[0004] Another arrangement for tapping an optical fiber is disclosed in U.S. Patent No. 3,936,631. As illustrated in Figure 4 of the '631 patent, the optical fiber is deformed by bending to conform to the shape of a 45 prounded surface. The resulting bend in the fiber causes a portion of the optical power propagating in the inner core of the fiber to radiate into the outer cladding from which it can be extracted by a coupling disk and eventually detected by a photodetector. The adjustment of the 50 optical power can be achieved by varying the amount of pressure applied to the disk and the geometry of the rounded surface. Disadvantageously, a run of optical fiber cannot be kept straight because the optical fiber must be bent. 5 - 10 3 -

Other patents which disclose bending an optical fiber for extracting an optical signal include U.S. Patent Nos. 4,822,125, 4,856,864 and 5,039,188. The

other disadvantage of such arrangements is that the light sensor must be specially positioned to extract the light which is caused to exit the fiber.

[0006] A need exists in the art for a simple, inexpensive optical fiber tap which can be installed in the field by an unskilled technician for tapping an optical signal from an optical cable or fiber. A further need exists for a method and apparatus for tapping an optical signal from an optical fiber in which the optical fiber can be kept straight. Yet a further need exists for a method and apparatus for tapping an optical signal from an optical The fiber in which the optical fiber is not mechanically weakened by an optical tap. finds have been interpreted by the re-

#### 15 m Summary of the Invention (ST ST) TO STAND IN THE STAND OF THE STA Marketil of the Breiter of the training of

[0007] a It is, therefore, an object of the invention to provide an apparatus and method of use therefore for tapping an optical signal from an optical fiber or cable which requires minimal effort and skill. 3 to 2000 a

[0008] :: It is another object of the present invention to errovide an optical method and apparatus for tapping an optical signal from an optical fiber in which the optical # fiber is deformed but not fractured or cracked → €

25 co[0009] notifit is yet another object of the present invention to provide a method and apparatus for tapping an cooptical signal from an optical fiber in which the optical fiber is maintained in a substantially straight condition while the optical signal is being tapped from the optical

ed [0010] and it is yet a further object of the present invention to provide a method and apparatus for tapping an proptical signal from an optical fiber in which the optical infibersis not substantially weakened who drives

prinvention are achieved by an apparatus and method for stapping an optical signal from an optical waveguide indenting or deforming the optical fiber. The optical waveguide can be an optical cable or optical fiber. Advantageously, the optical waveguide can be kept in a straight condition and not substantially weakened obecause the optical waveguide is indented or deformed, .. but not bent. The optical waveguide is indented using an sindenting assembly having an upper member and a lower members. An indenting portion is positioned in the upper member. The optical fiber is indented when the upper member and the lower member are fastened together forming an indent in the optical waveguide. ·:One.or.more)reflective, surfaces may be formed in the indent of the optical waveguide. The indenter may also have one or more reflective surfaces. One or more of the reflective surfaces can reflect a portion of the optical signal carried by the optical fiber either inwardly or outwardly, relative to the optical waveguide, into a corresponding light sensor positioned adjacent the optical waveguide. . . . 

[0012] The foregoing objects of the present invention are achieved by an arrangement for tapping an opti-

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cal signal from an intermediate portion of an optical waveguide. The optical waveguide has an outer surface. A first member has an indenter. The indenter is at least partially positioned in an indent formed in the intermediate portion of the optical waveguide. At least one of the indent and the indenter has a reflective surface. An optical device is optically coupled to the optical waveguide for transmitting/receiving light reflected off the reflective surface and carried in the optical waveguide;

The foregoing and other objects of the present invention are also achieved by an apparatus including an optical waveguide provided in a substantially straight condition. A first member has an indenter. The indenter is at least partially positioned in an indent formed in an intermediate, portion; of the coptical. waveguide. At least one of the indent and the indenter has a reflective surface. An optical device is optically coupled to the optical waveguide for transmitting/receivrealing light reflected off the reflective surface and carried in the optical wavaguide. The specific of the spe

[0014] The foregoing and other objects of the present invention are also achieved by a method of taphe ping an optical signal from an optical waveguide including indenting the optical waveguide to form a reflective surface and reflecting the optical signal off of the reflection 25. The different light sensors. The last signal off of the reflection and reflecting the optical signal off of the reflection 25. tive surface into a light sensor 12 Contraction to the Con-

[0015] Still other objects and advantages of other present invention will become readily apparent to those skilled in the art from the following detailed description, wherein the preferred embodiments of the invention are a shown and described, simply by way of illustration of the best mode contemplated of carrying out the invention. As will be realized, the invention is capable of other and different embodiments; and its several details are capable of modifications in various obvious respects, all withor out departing from the inventions Accordingly othe drawings and description:thereof are to be regarded as illustrative in nature, and not ascrestrictive. probability

#### Brief Description: of the Drawings art grounds astrony. A

Authorities and both morphoso left and .... [0016] ..... The present invention is illustrated by way of is example, and not by limitation; fin the figures of the accompanying drawings, wherein elements having the same reference numeral designations erepresent like actelements throughout and whereing of hedges to acque

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the way of the first of the first register in the contract of Figure 1 is an exploded perspective view of an indenting assembly and optical waveguide according to the present invention; while and the star Figure 2 is a bottom plan view of an upper member of the indenting assembly of Figure 1; a make 100 Figure 3 is a side elevational view depicting the indenting portion, a \( \lambda \) filter, a polarizer and light semsor with the housings of the upper member and lower member omitted for clarity; end of the Figure 3A is an exploded side elevational view of the indenter and the indent of Figure 3, 100 to 100

Figure 3B is a side elevational view of various geometries of an indenting portion used with the upper member of Figure 2;

Figure 4 is a top plan view of the lower member: Figure 5 is a schematic side elevational view of an indented optical fiber and light sensor with the indenting assembly omitted for clarity; >

Figure 6 is a side elevational view of an indented optical fiber, pad,  $\lambda$  filter and polarizer with the indenting assembly omitted for clarity;

Figure 7 is a side elevational view of a double indented optical fiber with the indenting assembly 1 . . omitted for clarity:

Figure 8 is a side elevational view of a second embodiment of double indented optical fiber with the indenting assembly omitted for clarity;

Figure 9 is a view illustrating the manner in which light is reflected within the indenting assembly of the present invention:

Figure 10 is a side elevational view of an embodiment showing a lens focusing the reflected optical 3.0 signal into the light sensor; and

Figure 11 is an embodiment illustrating an indent reflecting optical signals in two directions into two

#### Best Mode for Carrying Out the Invention

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16: [0017]) Referring first to Figure 1, there is depicted 30% am indenting assembly, generally indicated at 20, which is constructed in accordance with the principles of the \* present invention. As used herein, terms such as "left". "cright"; "above" and "below" are to be construed in the relative sense: It is to be understood that the present 35 scinvention is usable in any orientation. As depicted in Figure 1, the optical waveguide extends in a longitudinal ""direction and the indent or deformation extends in a transverse direction. The present application is related -Cto co-pending patents application entitled "OPTICAL 40 & FIBERITAP AND METHOD OF TAPPING AN OPTICAL SIGNAL FROM AN OPTICAL CABLE\* and assigned to withe instant assignee and filed on even date herewith cand is hereby incorporated by reference into this speciification in its entirety. e e great 3.0

45 [0018] As used herein "deform" means to alter the shape of the optical fiber by pressure or stress. As used therein "fracture" means a break, rupture or crack. As used herein "indent" means to form an indentation by deforming the optical waveguide, but without mechanically weakening the optical fiber by causing a fracture. By indenting or deforming an optical waveguide with an indenting assembly, the present invention advantageously forms an indent or deformation in the optical fiber or optical cable. The indent formed in the optical 55. waveguide may have a reflective surface. Alternatively, or in combination with the reflective surface formed in the optical waveguide, the indenting assembly may have a reflective surface. Either or both of the reflective

surfaces can be used for transversely reflecting light carried by the light carrying core of the optical fiber either inwardly or outwardly and then pass through the outer surface of the optical waveguide into a light sensor. Alternatively, instead of a light sensor, a light transmitter can inject light transversely through the outside diameter of the optical fiber to be reflected off a reflective surface into the light carrying core of the optical fiber.

[0019] Indenting assembly 20 includes an upper member 22 having a housing 23 and a lower member 24 having a housing 25. Located within upper member 22 is an indenting portion 26. The indenting portion 26 can either be made of a light transmissive material or a , light reflective material or a combination thereof. The indenting portion 26 can be integral to upper member 22 or can be a separate member as depicted in Figure 2A. Indenting portion 26 is centrally located within housing 23. A portion of a longitudinally extending optical waveguide 28 is positioned between upper member 22 and lower member 24: Although optical waveguide 28 is depicted without an outer cover in Figure 1, the present to a invention is usable with either an optical fiber (cladding ....only but no buffer) or an optical cable (cladding and buffer). If the optical waveguide has an outer cover (buffer), the buffer waveguide on the optical cable does not necessarily need to be removed to use the present invention if the buffer is optically transparent: The optical cable has a light carrying core surrounded by an outer cover which would include a cladding and a buffer. The buffer must be transparent for the wavelength used, otherwise the buffer has to be stripped. The optical waveguide preferably has a circular outer diameter and can also have a rectangular cross-section. The cladding has lower index of refraction than the light carrying cores , and is immediately adjacent. The cladding causes light to travel within the light carrying core by internal reflection. The light carrying core of the optical waveguide 28 acis formed from a deformable optical material such as gaplastic. Plastic optical fibers permit data transmission. s; rate of up to 3 Gbit/s, and are available commercially from companies including, for example, Boston Optical Fiber. Plastic can be deformed when subjected to pressure and if indenting portion 26 has the proper geomegetry, the plastic will deform but not crack or fracture or 45: \* rupture. Glass is not usable with the present invention. [0020] Upper member 22 includes a longitudinally extending semi-circular recess 30 having an inner surface 32. Indenting portion 26 is located within recess

30. Lower member 24 has a longitudinally extending semi-circular recess 34 having an inner surface 36. Together, recesses 30, 34 form a circular through bore extending through indenting assembly 20. Recesses 30, 34 are sized to prevent movement of optical waveguide 28 relative to housings 23, 25.

[0021] ...... Upper member 22 has a flat lower surface 38 rocated on either side of recess 30. Lower member 24 has a flat upper surface 40 located on either side of

recess 34. Upper member 22 has four bolt holes 42 and lower member 24 has four corresponding bolt holes 44. When surface 38 is brought into abutting relation with surface 40, bolts (not shown) are used to fasten together upper member 22 and lower member 24 forming indenting assembly 20. Bolt holes 42 and 44 extend through surfaces 38, 40, respectively. Other known means of fastening together upper member 22 and lower member 24 can be used, including snapping the 10 - assembly together. In operation, once fastened together, indenting assembly 20 remains secured to the and optical waveguides, 28 to prevent relaxations of the indented plastic optical material. If the indenting assemgiven bly 20 were removed, the plastic light carrying center 15 comight distort and reflective surface:57 might not prop-(1) erly reflect the optical signal. またおき を 3 (3) 3つ (6)

lar outside diameter of the optical waveguide 28. When the optical waveguide 28 is placed into recesses 30 and 34 and upper member 22 and lower member 24 are fastened together, indenting portion 26 is brought into waveguide 28 so as to form an indent or deformation 50 as depicted in Figure 1. As depicted in Figure 13 the 2513 indenting portion 26 includes a first surface 52, an interdemediate surface 53 and a second surface 54. Surfaces 52, 53, 54 form a triangularly shaped indenting portion 26 with intermediate surface 52 and 54 are flat and surface 53 is preferably curved and is convex as depicted in Figure 1.

a. [0023] a ≥ Indent 50 has a first outer flat surface 57, a Edificultived outer intermediate surface 59 and a second flat to couter:surface 58. Outer surfaces 57, 59, 58 can be the 35.44 cladding on the buffer (if transparent) depending on this whether an optical cable or optical fiber is used as the optical waveguide. Surfaces 57, 58, 59 correspond in os shape to surfaces 52, 53, 54, respectively and are in contact therewith. Flat surfaces 57,59 can be reflective surfaces depending on the angle as discussed below the with respect to Figure 3A. When pressure is exerted by ....indenting portion 26 on the optical waveguide 28, the ed optical waveguideris deformed or indented but is not caused to fracture or crack because the indenting portion 26 does not cause shearing of the optical material. [0024] SAs depicted in Figure 2, surfaces 52, 53, 54 extend transversely. Surfaces 52; 53 and 54 have a transverse width greater than the width of recess 30.5 \*\* [0025] a @ Referring now to Figure 3, an indented opti-

50-cal: fiber 28 is depicted with housings 23, 25 of upper member: 22 and flower member: 24 being omitted, respectively, for clarity. In operation, an optical signal is being carried by optical waveguide: 28 and is travelling from left to right as depicted in Figure 3. A portion of the optical signal carried by the optical waveguide 28 strikes first surface 57 and is reflected transversely. The optical signal will exit through the outer diameter of the optical cable 28 and through any cladding. As depicted in Fig-

ure 3, the optical signal is reflected at a 90° angle because surface 57 is at approximately a 45° angle. The angle at which surface 57 is located can be changed by varying the angle of surface 52.

Referring now to Figure 3A the indenter 26 is depicted within indent 50. Light travelling in the light carrying core can be reflected off of any one of three surfaces depending on the geometry of the indenter 26, the angle of the cladding, and the material used for the indenter. As depicted in Figure 3A, light ray A can be reflected as light ray B, light ray C or light ray D. The optical signal will be reflected off of surface 59 of clades ding 29 inwardly as light ray B. The optical signal will pass through surface 59 and cladding 29 (if the angle  $\boldsymbol{\lambda}$ of surface 59 is less than 45°) and will be reflected of surface 57 of indenter 26 inwardly as light ray C if surface 57 is light reflective. If the angle  $\lambda$  of surface 59 is less than 45° and indenter 26 is made of a light trans- $\gamma_{\rm col}$  missive material, and the angle  $\beta$  surface 50 is greater ... than 45°, then the optical signal will be reflected off of « surface, 50 outwardly as light ray D. The reflective surface reflects the optical signal carried by the light carrying-core according to Snells law where the angle of reflectance is equal to the angle of incidence.

25 [0027] St. Referring 5 now to Figure, 35; many geometries are possible for indenting portion 26 which permit the indenter and/or the cladding of the optical safiberto be either reflective or light transmissive. The discussion for indenting portion 26 is applicable for all alternative indenting postions 26', 26", 26" a Referring to Figure 3B, an alternative indenting portion, 26' is depicted. Indenting portion 26 has a first surface 60, an intermediate surface 61 and a second surface 62.1 The indents 50 will be formed to conform to the shape of mindenter 26'. First surface 60 is a curved surface preferably concave. Surfaces 60 and 62 will be partially light transmissive and partially reflective depending on the curvature of the surfaces 60, 62. Asconvexly curved intermediate surface 61 joins a flat second surface 62. Surface 62 is preferably relatively flat and surface 61 is "curved. As depicted; light travelling from left to right erwould pass through the cladding (not shown) adjacent surface 60. Light travelling from right to left would be reflected by the cladding surface adjacent surface 62.

[0028] A second alternative indenting portion 26" is: 45
depicted in Figure 2A. Indenting portion 26' has a first
surface 64, an intermediate surface 65 and a second
surface 66. The first surface 64 has a convex curved
shape which will be reflective. Intermediate surface 66
has a convexly curved shape and will be light transmissive. Second surface 66 is flat: As depicted, light travelling from left to right would be reflected off the cladding
(not shown) adjacent surface 64. Light travelling from
right to left would pass through the cladding adjacent
surface 66.

[0029] A third alternative indenting portion 26" is depicted in Figure 2A. Indenting portion 26" has a first flat surface 67 and a second flat surface 69 joined by a

convexly curved intermediate surface 68. Both surfaces 67 and 69 will be light reflective. In all of the described indenting portions 26, the indenting portion advantageously does not cause the optical waveguide to crack, rupture or break when the indent is formed. As depicted, light travelling from left to right would be reflected off the cladding (not shown) adjacent surface 67. Light travelling from right to left would be reflected off the cladding (not shown) adjacent surface 69.

[0030] : The amount of light reflected by surface 57 can be varied by varying the depth of indent 50. As to 5 depicted in Figure 1, the depth of indent 50 as defined by surface 59 is approximately ten percent of the diameter of optical waveguide 28 towards a λ filter 70 which 15 , is a wavelength filter positioned adjacent to waveguide 28. A polarizer 72 is positioned adjacent to and below filter 70 and a light sensor 74 is positioned adjacent to and beneath polarizer 72. As depicted in Figure 3, the . findenting portion 26 would be located in upper housing 23 and λ/filter 70, polarizes 72 and light sensor 74 would be located in lower housing 25. The reflective surface t.a. 57 and the light sensor 74 are diametrically opposite presfrom each other as depicted in Figure 3. Each of the devices 70, 72, 76 is centrally located relative to indent 25% 50.5% and a major of the second of the second

Referring now to Figure 4, the lower member 24 is depicted. The λ filter 70 is depicted as positioned within a recess 73 in the lower member 24. The filter 70 is preferably centrally positioned within the recess 73 and the filter 70 has a larger diameter than the width of recess 34. A tab 71 is used to orient filter 70.

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illustrated in schematic form. As depicted in Figure 5, 35 might sensor 74 is located diametrically opposite from inclined 50 and light reflected from incent 50 is reflected transversely into sensor 74. As depicted in Figure 5, illustrated in schematic form incent 50 is reflected transversely into sensor 74. As depicted in Figure 5, illustrated from an outside diameter of the optical 46 caraveguide 28, transversely opposite from indent 50. The operation of the Figure 5 arrangement is otherwise isoidentical to the Figure 3 arrangement.

reflected by the cladding surface adjacent surface 62. [0028] A second alternative indenting portion 26" is located diametrically opposite the indent 50 formed in [0028] A second alternative indenting portion 26" is located diametrically opposite the indent 50 formed in the optical waveguide 28. Located directly above the conforming pad 76 is λ filter 70 and directly above λ filter surface 64, an intermediate surface 65 and a second surface 66. The first surface 64 has a convex curved shape which will be reflective. Intermediate surface 66

[0034] As depicted in Figure 7, there are two indents 100, 102 located at the same angular location on optical waveguide 28. The first recess 100 is formed by a first indenting portion (not depicted for clarity) and the second recess 102 is formed by a second indenting portion (not depicted for clarity). The first and second indenting portions can be located in housing 23. The first recess 100 includes a first recess surface 104, a second recess surface 108 and an intermediate surface

107 adjacent surfaces 110, 109, 103 of cladding 29. The second recess includes a first surface 114, a second surface 118 and an intermediate surface 117 adjacent surfaces 116, 119, 118 of cladding 29. In operation, light traveling through optical waveguide 28 can travel in opposite directions and be reflected transversely by surfaces 104, 118, respectively, of cladding 29 inwardly through diametrically opposite cladding 29 into light sensors (not shown).

Referring now to Figure 3, another two indent embodiment is depicted. A first indent 120 is longitudinally spaced and diametrically opposite from a second indent 130. In this embodiment, an indenter and two light sensors (not shown) are located in the upper housing 23. An indent 120 has a first surface 121, a second surface 124 and an intermediate surface 122 formed by an indenter forming surfaces 127, 126, 129, respectively in cladding 29. The indenter is located within upper housing 23. The second indent has a first surface 137, an intermediate surface 133 and a second 20 surface 134 formed by indenter 136 having surfaces a. 4, 132, 133, 135,3 respectively. In this embodiment, the indenter is made of a transparent optically transmissive material. A portion of the light traveling from left to right passes through surfaces 121 g 127 and is reflected off 50 25 surface 126 into a light sensor. A portion of the light traveling from left to right is reflected off of surface 134, through the optical waveguide 28 and through the outside diameter into a light sensor.

[0036] - Refer now to Figure 9 which depicts light 30 rays being reflected from surface 57 (Figure 1) into  $\lambda$  filter 70 into light sensor 74. Some of the light rays are reflected by indent 26 into the cladding on the outer diameter of the optical waveguide 28 and then the angle of incidence is such that the light rays are focused by \$235 of the prisings, list and asked to be until the control of the cladding on the optical waveguide outer diameter into a through hole. Light rays are then impinged on  $\lambda$  filarter, 70 and are received by light sensor 74, product

[0037] Figure 10 expands upon the principles explained with respect to Figure 9 and shows the light 340 rays being further focused by a lens 150 positioned below optical waveguide 28. This arrangement improves the amount of light received by the light sensor 74.

Referring now to Figure 11 sindent 50 can be 345 for the optical waveguide. The second of the second . [0038] formed so that light is reflected off of two surfaces 57, 58 in a direction other than perpendicular. As depicted in Figure 11, for example, surface 57 can be angled so that an optical signal is reflected so the light sensor is positioned longitudinally offset relative to indent 50. A .50 pair of light sensors or transmitters 174, 174, are each longitudinally offset relative to indent 50 so that surface 57 reflects light into light sensor 174 through filter 70 and surface 58 reflects light into light sensor 174' through filter 70. A spacer 184 is positioned between , light sensors 174 and 174' to prevent dispersed light from impinging on the light sensors 174, 174. In this manner, a single indent 50 can be used to trans-

mit/receive two optical signals, traveling in two directions in the optical waveguide 28.

[0039] It should be understood that in any of the embodiments discussed herein, a light transmitter can be substituted for a light sensor. In other words, light could be injected into the optical waveguide 28 and reflected off of indent 50. It should also be understood that arrays of fibers and detectors and receiving diodes can be used with the present invention. The tapped light can also be carried directly to an integrated circuit such as OPTOFET transformer amplifier.

[0040] It should now be apparent that a method of isoforming an indent and apparatus for forming same have been described in which the indenting assembly indents an optical waveguide in a manner so as to deform the optical waveguide so light can be reflected off of the indent into a light sensor or, alternatively, light can be injected without rupturing, cracking or breaking the optical fiber.

It will be readily seen by one of ordinary skill [0041] in the art that the present invention fulfills all of the objects set forth above. After reading the foregoing specification, one of ordinary skill will be able to affect 9 various changes, substitutions of equivalents and various other aspects of the invention as broadly disclosed herein. It is therefore intended that the protection granted hereon be limited only by the definition conatained in the appended claims and equivalents thereof. ្នក់ ្នា<sub>ទ</sub>ាស់ម៉ែក ស្រាស់កែ ស៊ីក កា

#### Claims

r 1.30 An arrangement for tapping an optical signal from an intermediate portion of an optical waveguide, the ஈருப்பிoptical waveguide having an outer surface, com-

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a first member having an indenter, said for the sindenter at least partially positioned in an ar and a indent formed in the intermediate portion of the optical waveguide at least one of the indent and said indenter having a reflective surface; twist, an optical device optically coupled to the opti-

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cal waveguide for transmitting/receiving light reflected off the reflective surface and carried

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- 2. The arrangement of claim 1, further comprising a incorpsecond member fastenable to said first member, said optical device connected to one of said first member and said second member.
- :3: The arrangement of claim 1, further comprising a wavelength filter positioned between said optical waveguide and said optical device.

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4. The arrangement of claim 3, further comprising a polarizer positioned between said filter and said est lioptical device. This in the state of the state of

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- 5. The arrangement of claim 3, further comprising an index conforming pad positioned between said filter and said optical device. 🚋 📖 📖 71.
- The arrangement of claim 1, wherein said indenter is optically transmissive. ASS THE PARTY

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- il halo terro. " 7. The arrangement of claim 3, wherein said filter is a wavelength separation filter. The later part
- 5.7 × 3 8. The arrangement of claim 1, wherein said-first the same member has a recessed-area and said indenter is said recessed area, said recessed area shaped to conform to the ishape of the artic indenters of as his mann shippsychy pudhous of the
- 9. The arrangement of claim 2, further comprising fastening means for fastening said first member to said second member together.

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- the property was a second of the time. 3 10. The arrangement of claim 1, wherein said indenter ழுத்தை **has a v-shape.** பூருக் குடிபூர் சூரு நடிபுக்க ச Pullipe argue to the companies and a more as the
- 1. The arrangement of claim 1, wherein said indenter · [5] has a curvilinear shape. [1] [1] 計 [4] [2] (stift [5])
- 12. The arrangement of claim 1, wherein said indenter to the forms an indent in the optical waveguide transverse relative to the optical waveguide.

the some and from a first that the market of

- 电报汽流 13. The arrangement of claim 1, wherein the intermediate portion is intermediate a first contiguous portion and a third-contiguous portion, said first, intermediate and third, portions being substantially straight when said indenter is at least partially positioned in 235 of page 1.4 for the page 1.5 acts 2.5 for the extending the said indent.
- Security of the Security that is a 14. The arrangement of claim 1, wherein the depth of the indent is approximately tempercent of a diamethe optical waveguide. I now isologic
- >15. The arrangement of claim to wherein said optical 神神 device is a light emitting diode.ceman ian

out the proposition of the proposition of the control of the contr

- to the country of the transfer of the control of 16. The arrangement of claim 1, wherein said indenter #45 #29. A method of tapping an optical signal from an optiis optically transmissive.
- BEGINN TO THE TENTH OF THE SECOND STORES STORE WITH THE 17. The arrangement of claim 1, wherein said optical device is positioned diametrically opposite the The The Later Both of the following
- 18. The arrangement of claim, 1, wherein said indent 一、 has at least one reflective surface. 小点 3550 m
- Fire water with the string water 19. The arrangement of claim 1, wherein the indenter has a first surface, a second surface and an intermediate surface; said intermediate surface being shaped so as to deform but not fracture the optical

waveguide.

- 20. The arrangement of claim 19, wherein said indenter has two reflective surfaces.
- 21. The arrangement of claim 19, wherein said the indent has two reflective surfaces.

- 22. The arrangement of claim 1, wherein said optical 10. 5 waveguide is an optical fiber.
- : 23. The arrangement of claim 1, wherein said optical "" waveguide is an optical cable, said optical cable week, has an outer cover including a cladding.
- 24. An apparatus, comprising: REPORT VALUE OF THE SECOND

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an optical waveguide in a substantially straight ಸರ್ವಾಮ (condition; ಾರ್ಟ್ ಮ) ಕ್ಷಮಾನಿಕ ಗಾಗಿ ಅರ್ಥಿಗ

and indenter, said indenter at least partially positioned in an indent formed in an intermediate portion of the ब विशास के optical waveguide at lest one of the indent and and indenter having a reflective surface: 15 \* 25 to be the an optical device optically coupled to the optiidel en cal waveguide for transmitting/receiving light reflected off the reflective surface and carried and the optical waveguide. 1080 SELMINE NO. 17 TORY FOR

68 30 15 25. The arrangement of claim 24, wherein said indenter Hit is optically transmissive to the percentage to

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- 1926. The arrangement of claim 24 wherein said indent has at least one reflective surface.
- 27. The arrangement of claim 24, wherein the indenter has a first surface, a second surface and an intermediate surface, said intermediate surface being shaped so as to deform but not fracture the optical · waveguide: 145 FIRE OF THE WILL BETWEEN
- 28. The arrangement of claim 24, wherein said indenter nos has at least one reflective surface.
- Associated waveguide having cladding, comprising: indiana in the Person of the Completion of Gradesi

20 15 go indenting the optical waveguide to form an where cindent; she to be a transfer as a few build positioning a reflective surface in the indent;

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ราย การ **and**" (Nimina) การกระทำ รายสาราช (ค.ศ. 2014)

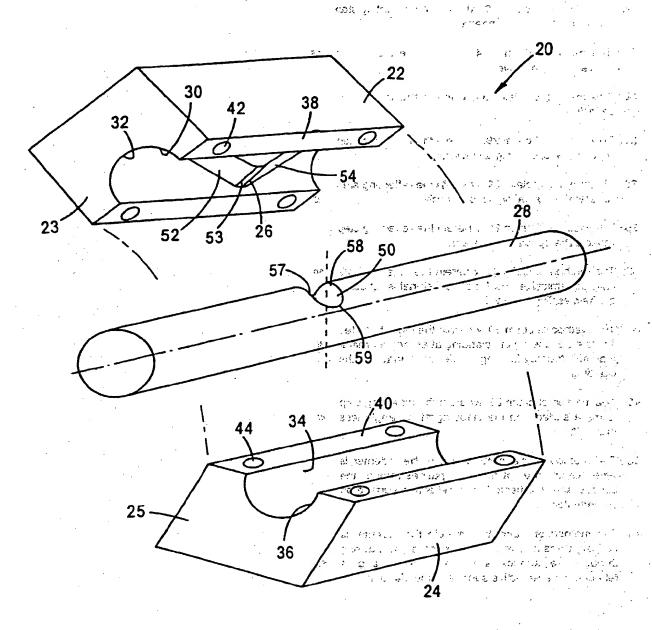
reflecting the optical signal off of the reflective surface through the waveguide into a light sen-្ន **sor.** ក្នុង ៤០ សង្គ្រី ឧបសាធិស្ស ពីភា ឧបសាធិ នោះភ ವೇಗು ಕ್ರೀಕ್ರ ಕ್ರೀಕ್ರಿಗಿ ಅತ್ಯಂತ ಗು

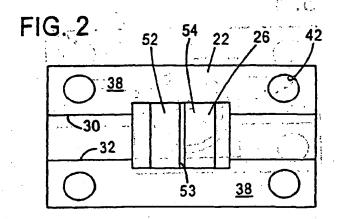
30. The method of claim 29, wherein said indenting step deforms the optical waveguide but does not fracture the optical waveguide. 👵 💯 💯 💯 😘

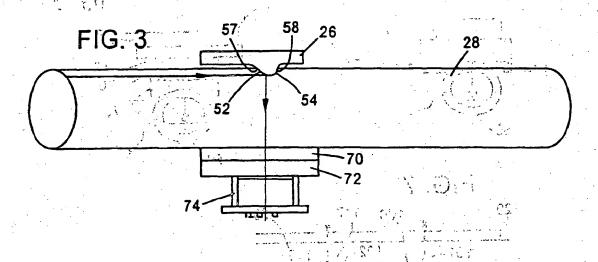
- 31. The method of claim 29, wherein the reflective surface is flat.
- **32.** The method of claim 29, further comprising positioning a wavelength filter between the optical 5 waveguide.
- 33. The method of claim 29, comprising maintaining the optical waveguide in a substantially straight condition.
- 34. The method of claim 29, wherein the indenting step is performed with an indenter.
- 35. The method of claim 34, wherein the indenter is ... 15 optically transmissive.
- 36. The method of claim 34, wherein the indenter is reflective.
- 37. The method of claim 29, wherein the reflecting step reflects the optical signal inwardly.
- 38. The method of claim 29, wherein the reflecting step reflects the optical signal outwardly.
- The method of claim 29, wherein the reflecting step reflects the optical transversely.
- 40. The method of claim 34, wherein the indent is optically transmissive and the optical signal is reflected by the cladding outwardly.
- 41. The method of claim 29, wherein the indenting step forms a surface on the cladding at an angle greater than 45°, thereby forming a reflective surface on the cladding.
- 42. The method of claim 29, wherein the indenting step forms a surface on the cladding at an angle less than 45°.
- 43. The method of claim 42, wherein the indenter is reflective and the optical signal passes through the surface and is reflected off a reflective surface on the indenter.
- 44. The method of claim 42, wherein the indenter is optically transmissive and the optical signal passing through the surface and the indenter and is reflected off a reflective surface in the cladding.

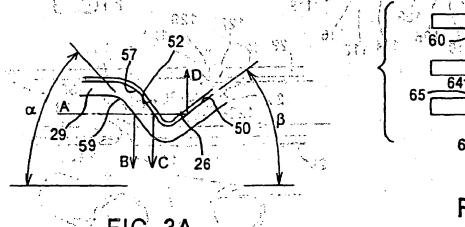
FIG. 1

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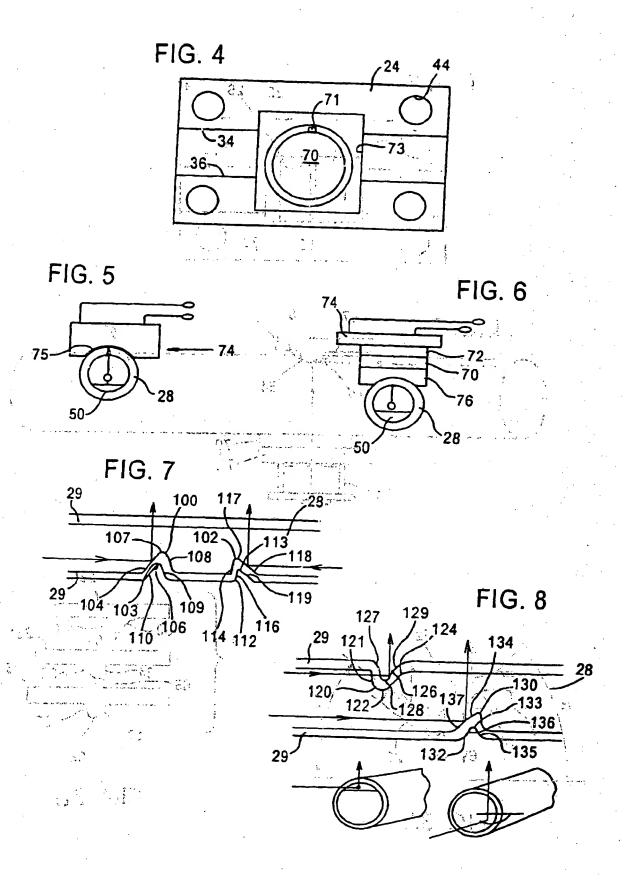


FIG. 9

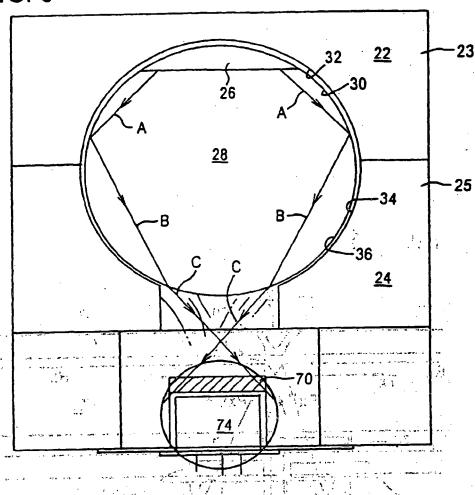


FIG. 10

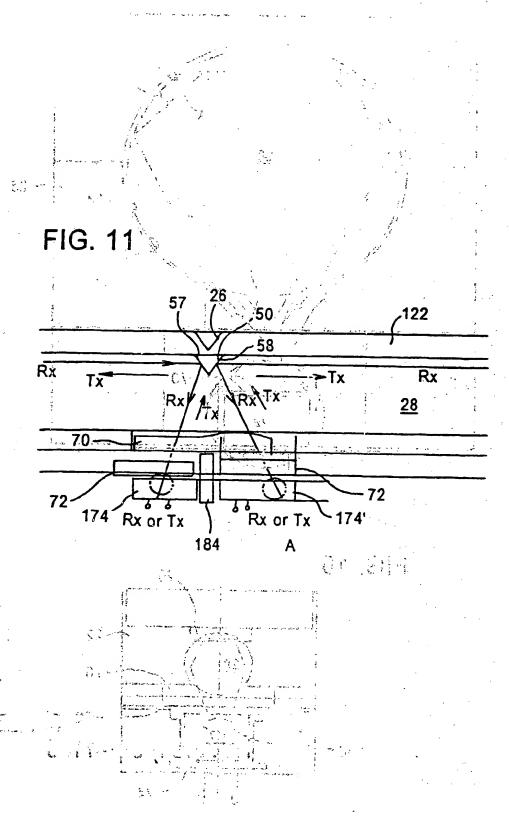
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DOCKET NO: <u>IT-273</u> SERIAL NO: = 09/8-94-675 APPLICANT: Melchior et al. LERNER AND GREENBERG PA P.O. BOX 2480 HOLLYWOOD, FLORIDA 33022 TEL. (954) 925-1100

# VERTRAG ÜBER DIE INTERNATIONALE ZUSAMMENARBEIT AUF DEM GEBIET DES PATENTWESENS

Absender: INTERNATIONALE RECHERCHENBEHÖRDE	PCT
MAIKOWSKI & NINNEMANN z.H. Müller, Wolfram H. Kurfürstendamm 54-55 D-10707 Berlin GERMANY  Maikowski & Ninnemann Eingegangen  15. April 2002	MITTEILUNG ÜBER DIE ÜBERMITTLUNG DES INTERNATIONALEN RECHERCHENBERICHTS ODER DER ERKLÄRUNG (Regel 44.1 PCT)
	Absendedatum (Tag/Monat/Jahr) 1 ( IOA IOOO)
Aktenzeichen des Anmelders oder Anwalts	16/04/2002
IT273W0	WEITERES VORGEHEN siehe Punkte 1 und 4 unten
Internationales Aktenzeichen	Internationales Anmeldedatum
PCT/DE 01/02077	(Tag/Monat/Jahr) 23/05/2001
Anmelder	
INFINEON TECHNOLOGIES AG	
Wo sind Änderungen einzureichen?  Unmittelbar beim Internationalen Büro der WIPO, 34, C Telefaxnr.: (41–22) 740.14.35  Nähere Hinweise sind den Anmerkungen auf dem Beiblatt z  Dem Anmelder wird mitgeteilt, daß kein internationaler Reche Artikel 17(2)a) übermittelt wird.  Hinsichtlich des Widerspruchs gegen die Entrichtung einer dem Anmelder mitgeteilt, daß  der Widerspruch und die Entscheidung hierüber zusamm Widerspruchs als auch der Entscheidung hierüber an di sind.	internationalen Anmeldung ändern (siehe Regel 46):  iblicherweise zwei Monate ab der Übermittlung des en sind den Anmerkungen auf dem Beiblatt zu entnehmen.  HEMIN des Colombettes, CH-1211 Genf 20,  u entnehmen.  erchenbericht erstellt wird und daß ihm hiermit die Erklärung nach  r zusätzlichen Gebühr (zusätzlicher Gebühren) nach Regel 40.2 wird  men mit seinem Antrag auf Übermittlung des Wortlauts sowohl des e Bestimmungsämter dem Internationalen Büro übermittelt worden
Getroffen wurde. 4. Weiteres Vorgehen: Der Anmelder wird auf folgendes aufme Kurz nach Ablauf von 18 Monaten seit dem Prioritätsdatum wird die licht. Will der Anmelder die Veröffentlichung verhindern oder auf ein bzw. 90 <sup>Dis</sup> 3 vor Abschluß der technischen Vorbereitungen für die int me der internationalen Anmeldung oder des Prioritätsanspruchs be Innerhalb von 19 Monaten seit dem Prioritätsdatum ist ein Antrag a Anmelder den Eintritt in die nationale Phase bis zu 30 Monaten seit verschieben möchte. Innerhalb von 20 Monaten seit dem Prioritätsdatum muß der Anme	e internationale Anmeldung vom Internationalen Büro veröffent- nen späteren Zeitpunkt verschieben, so muß gemäß Regel 90 bis ternationale Veröffentlichung eine Erklärung über die Zurücknah- eim Internationalen Büro eingehen. auf internationale vorläufige Prüfung einzureichen, wenn der t dem Prioritätsdatum (in manchen Ämtern sogar noch länger)
Handlungen vor allen Bestimmungsämtern vornehmen, die nicht inr Anmeldung oder einer nachträglichen Auswahlerklärung ausgewähl Kapitel II des Vertrages nicht verbindlich ist.	iernaib von 19 Monaten seit dem Prioritätsdatum in der It wurden oder nicht ausgewählt werden konnten, da für sie
Jame und Postanochrift der Internationalen Decharateatiet ände	

Name und Postanschrift der Internationalen Recherchenbehörde
Europäisches Patentamt, P.B. 5818 Patentlaan 2

NL-2280 HV Rijswijk Tel. (+31-70) 340-2040 Fax: (+31-70) 340-3016 Bevollmächtigter Bediensteter

Christoph Stuckart

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អូវទី១ ជាមិក្សា (១០០០ ដីកិច្ច) នាក់នេះ ក្រុមស្រីជួល <sup>3</sup> ជាក់ ន	Sig."		र १८ - १५ कि.म. <b>१ अस्ति ।</b> १ क.स. १८ - १८७१ के.स.

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ු යැයි. කරනුර්තන කරනත් වෙය ගැනවාගේ නිවාධව නැමණිණේ සමහයන්ධයට සහ සම්බන්ධව මිනි ගෙනම් ගැනවාගේ ගිනවා ගියිවෙන විවාධ ගැනවන් ගිනවානු ගෙනුවියට ගන්න ප්රධාන ගිනු අතරක නොකර මෙන් දී එකරුව සමහන්වයට ගත් නිතරයා මෙනුවෙන්නේ සම්බ ගත්තුවෙයට සතුවෙන් ගෙන සහ ගන්නේ ගන්නත්ත් සහවාන නොකර දුන්නේ සමහන් සමුවිනේ මෙන ගියිවිය හිටියින් සම්බන්ධව නොක් සම

#### รับอุรัสทายาสสัดรายไว้ และสมาย ได้ยี่ เรียกคล ผู้

වැන්නට අතුර වෙන වෙන වෙන සම්බන්ධයෙන් වෙන සිට සහ සම්බන්ධයෙන් සම්බන්ධයෙන් සම්බන්ධයෙන් සම්බන්ධයෙන්න සම්බන්ධයෙන්න ස වෙනුවා අතුර සම්බන්ධයෙන්න සම්බන්ධයෙන්න සම්බන්ධයෙන් සම්බන්ධයෙන් සම්බන්ධයෙන්න සම්බන්ධයෙන්න සම්බන්ධයෙන්න සම්බන්ධයෙන

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#### ารสงค์และกระหนาง 1 ก็เกียนสะได้ พระว่า กระว

না প্ৰথম চাৰ্ক্ষণ চুটাৰ আনিক আৰু কিন্তু আৰু কিন্তু আৰু কেন্ত্ৰিক কৰে। আৰু কিন্তু সংগ্ৰহণ কৰিছে বিষয়ে কৰিছে চ কুল্লে চিন্তু কিন্তু কিন্তু কৰি কিন্তু কৰে সংগ্ৰহণ কৰে। আনক্ষম চাৰ্ক্ষণ কৰিছে চিন্তু কৰে। সংগ্ৰহণ চিন্তু চিন্তু

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ু প্ৰতিষ্ঠান কৰিছে আৰু প্ৰথম শ্ৰীপ্ৰকাশ নাইছিল পৰিছে আৰু প্ৰকৃষ্টিৰ প্ৰতিষ্ঠান কৰিছে এই প্ৰতিষ্ঠান কৰিছে এইছিল এই মাধ্য প্ৰথম কৰিছে আৰু ইংইছিল কেন্দ্ৰক্ষেত্ৰ প্ৰতিষ্ঠান কৰিছে প্ৰথম কৰিছে এইছিল কৰিছে কৰিছে কৰিছে কৰিছে কৰিছ এইছিল এইছেন এইছিল মাধ্য কৰিছে ক

#### SUMBEN ZU FORMBLATT PCT/ISA/220 AN'

Diese Anmerkungen sollen grundlegende Hinweise zur Einreichung von Änderungen gemäß Artikel 19 geben. Diesen Anmerkungen liegen die Erfordernisse des Vertrags über die internationale Zusammenarbeit auf dem Gebiet des Patentwesens (PCT), der Ausführungs ordnung und der Verwaltungsrichtlinien zu diesem Vertrag zugrunde. Bei Abweichungen zwischen diesen Anmerkungen und obengenannten Texten sind letztere maßgebend. Nähere Einzelheiten sind dem PCT-Leitfaden für Anmelder, einer Veröffentlichung der WIPO, zu entnehmen. Die in diesen Anmerkungen verwendeten Begriffe "Artikel", "Regel" und "Abschnitt" beziehen sich jeweils auf die Bestimmungen des PCT-Vertrags, der PCT-Ausführungsordnung bzw. der PCT-Verwaltungsrichtlinien.

#### HINWEISE ZU ÄNDERUNGEN GEMÄSS ARTIKEL 19

Nach Erhalt des internationalen Recherchenberichts hat der Anmelder die Möglichkeit, einmal die Ansprüche der internationalen Anmeldung zu ändern. Es ist jedoch zu betonen, daß, da alle Teile der internationalen Anmeldung (Ansprüche, Beschreibung und Zeichnungen) während des internationalen vorläufigen Prüfungsverfahrens geändert werden können, normalerweise keine Notwendigkeit besteht, Anderungen der Ansprüche nach Artikel 19 einzureichen, außer wenn der Anmelder z.B. zum Zwecke eines vorläufigen Schutzes die Veröffentlichung dieser Anspruche wünscht oder ein anderer Grund für eine Änderung der Ansprüche vor ihrer internationalen Veröffentlichung vorliegt. Weiterhin ist zu beachton, daß ein vorläufiger Schutz nur in einigen Staaten erhältlich ist.

#### Welche Teile der Internationalen Anmeldung können geändert werden?

Im Rahmen von Artikel 19 können nur die Ansprüche geändert werden.

In der internationalen Phase können die Ansprüche auch nach Artikel 34 vor der mit der internationalen vorläufigen Prüfung beauftragten Behörde geändert (oder nochmals geändert) werden. Die Beschreibung und die Zeichnungen können nur nach Artikel 34 vor der mit der internationalen vorläufigen Prüfung beauftragten Behörde geändert werden.

Beim Eintritt in die nationale Phase können alle Teile der internationalen Anmeldung nach Artikel 28 oder gegebenenfalls Artikel 41 geändert werden.

#### Bis wann sind Änderungen einzureichen?

Innerhalb von zwei Monaten ab der Übermittlung des internationalen Recherchenberichts oder innerhalb von sechzehn Monaten ab dem Prioritätsdatum, je nachdem, welche Frist später abläuft. Die Änderungen gelten jedoch als rechtzeitig eingereicht, wenn sie dem Internationalen Büro nach Ablauf der maßgebenden Frist, aber noch vor Abschluß der technischen Vorbereitungen für die internationale Veröffentlichung (Regel 46.1) zugehen.

#### Wo sind die Änderungen nicht einzureichen?

Die Änderungen können nur beim Internationalen Büro, nicht aber: beim Anmeldeamt oder der Internationalen Recherchenbehörde eingereicht werden (Regel 46.2).

Falls ein Antrag auf internationale vorläufige Prüfung eingereicht wurde/wird, siehe unten.

#### In welcher Form können Änderungen erfolgen?

Eine Änderung kann erfolgen durch Streichung eines oder mehrerer ganzer Ansprüche, durch Hinzufügung eines oder mehrerer neuer Ansprüche oder durch Änderung des Wortlauts eines oder mehrerer Ansprüche in der eingereichten Fassung.

Für jedes Anspruchsblatt, das sich aufgrund einer oder mehrerer Änderungen von dem ursprünglich eingereichten Blatt unterscheidet, ist ein Ersatzblatt einzureichen.

Alle Ansprüche, die auf einem Ersatzblatt erscheinen, sind mit arabischen Ziffern zu numerieren. Wird ein Anspruch gestrichen, so brauchen, die anderen Ansprüche nicht neu numeriert zu werden. Im Fall einer Neunumerierung sind die Ansprüche fortlaufend zu numerieren (Verwaltungsrichtlinien, Abschnitt 205 b)).

Die Änderungen sind in der Sprache abzufassen, in der dieinternationale Anmeidung veröffentlicht wird.

#### Welche Unterlagen sind den Änderungen belzufügen?

#### Begleitschreiben (Abschnitt 205 b)):

Die Änderungen sind mit einem Begleitschreiben einzureichen.

Das Begleitschreiben wird nicht zusammen mit der internationalen Anmeldung und den geänderten Ansprüchen veröffentlicht. Es ist nicht zu verwechseln mit der "Erklärung nach Artikel 19(1)" (siehe unten, "Erklärung nach Artikel 19 (1)").

Das Begleitschreiben ist nach Wahl des Anmelders in englischer oder französischer Sprache abzufassen. Bei englischsprachigen internationalen Anmeidungen ist das Begleitschreiben aber ebenfalls in englischer, bei französischsprachigen internationalen Anmeldungen in französischer Sprache abzufassen.

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## ANMERKUNGEN ZU FORMBLATT PCT/ISA/220 (Fortsetzung)

Im Begleitschreiben sind die Unterschiede zwischen den Ansprüchen in der eingereichten Fassung und den geänderten Ansprücher anzugeben. So ist insbesondere zu jedem Ansprüch in der internationallen Anmeldung anzugeben (gleichlautende Angaben zu verschiedenen Ansprüchen können zusammengefaßt werden), ob

- i) der Anspruch unverändert ist:
- ii) der Anspruch gestrichen worden ist;
- iii) der Anspruch neu ist;
- iv) der Anspruch einen oder mehrere Ansprüche in der eingereichten Fassung ersetzt;
- v) der Anspruch auf die Teilung eines Anspruchs in der eingereichten Fassung zurückzuführen ist.

## im folgenden sind Beispiele angegeben, wie Änderungen im Begleitschreiben zu erläutern sind:

- [Wenn anstelle von ursprünglich 48 Ansprüchen nach der Änderung einiger Ansprüche 51 Ansprüche existieren]:
   "Die Ansprüche 1 bis 29, 31, 32, 34, 35, 37 bis 48 werden durch geänderte Ansprüche gleicher Numerierung ersetzt; Ansprüche 30, 33 und 36 unverändert; neue Ansprüche 49 bis 51 hinzugefügt."
- [Wenn anstelle von ursprünglich 15 Ansprüchen nach der Änderung aller Ansprüche 11 Ansprüche existieren]:
   "Geänderte Ansprüche 1 bis 11 treten an die Stelle der Ansprüche 1 bis 15."
- 3. [Wenn ursprünglich 14 Ansprüche existierten und die Änderungen darin bestehen, daß einige Ansprüche gestrichen werden und neue Ansprüche hinzugefügt werden]: Ansprüche 1 bis 6 und 14 unverändert; Ansprüche 7 bis 13 gestrichen; neue Ansprüche 15, 16 und 17 hinzugefügt. "Oder" Ansprüche 7 bis 13 gestrichen; neue Ansprüche 15, 16 und 17 hinzugefügt; alle übrigen Ansprüche unverändert."
- [Wenn verschiedene Arten von Änderungen durchgeführt werden]:
   "Ansprüche 1-10 unverändert; Ansprüche 11 bis 13, 18 und 19 gestrichen; Ansprüche 14, 15 und 16 durch geänderten Ansprüch 14 ersetzt; Ansprüch 17 in geänderte Ansprüche 15, 16 und 17 unterteilt; neue Ansprüche 20 und 21 hinzugefügt."

#### "Erklärung nach Artikel 19(1)" (Regel 46.4)

Den Änderungen kann eine Erklärung beigefügt werden, mit der die Änderungen erläutert und ihre Auswirkungen auf die Beschreibung und die Zeichnungen dargelegt werden (die nicht nach Artikel 19 (1) geändert werden können).

Die Erklärung wird zusammen mit der internationalen Anmeldung und den geänderten Ansprüchen veröffentlicht.

Sie ist in der Sprache abzufassen, in der die internationalen Anmeldung veröffentlicht wird.

Sie muß kurz gehalten sein und darf, wenn in englischer Sprache abgefaßt oder ins Englische übersetzt, nicht mehr als 500 Wörter umfassen

Die Erklärung ist nicht zu verwechseln mit dem Begleitschreiben, das auf die Unterschiede zwischen den Ansprüchen in der eingereichten Fassung und den geänderten Ansprüchen hinweist, und ersetzt letzteres nicht. Sie ist auf einem gesonderten Blatt einzureichen und in der Überschrift als solche zu kennzeichnen, vorzugsweise mit den Worten "Erklärung nach Artikel 19 (1)".

Die Erklärung darf keine herabsetzenden Äußerungen über den internationalen Recherchenbericht oder die Bedeutung von in dem Bericht angeführten Veröffentlichungen enthalten. Sie darf auf im internationalen Recherchenbericht angeführte Veröffentlichungen, die sich auf einen bestimmten Anspruch beziehen, nur im Zusammenhang mit einer Änderung dieses Anspruchs Bezug

### Auswirkungen eines bereits gestellten Antrags auf internationalevorläufige Prüfung

lst zum Zeitpunkt der Einreichung von Änderungen nach Artikel 19 bereits ein Antrag auf internationale vorläufige Prüfung gestellt worden, so sollte der Anmelder in seinem Interesse gleichzeitig mit der Einreichung der Änderungen beim Internation alen Büro auch eine Kopie der Änderungen bei der mit der internationalen vorläufigen Prüfung beauftragen Behörde einreichen (siehe Regel 62.2 a), erster Satz).

## Auswirkungen von Änderungen hinsichtlich der Übersetzung derinternationalen Anmeldung beim Eintritt in die nationale Phase

Der Anmelder wird darauf hingewiesen, daß bei Eintritt in die nationale Phase möglicherweise anstatt oder zusätzlich zu der Übersetzung der Ansprüche in der eingereichten Fassung eine Übersetzung der nach Artikel 19 geänderten Ansprüche an die bestimmten/ausgewählten Ämter zu übermitteln ist.

Nähere Einzelheiten über die Erfordernisse jedes bestimmten/ausgewählten Amts sind Band II des PCT-Leitfadens für Anmelder zu entnehmen.

Maria Mada San

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# VERTRAG ÜBER DIE INTERNATIONALE ZUSAMMENARBEIT AUF DEM GEBIET DES PATENTWESENSMaikowski & Ninnemann

## PCT

#### INTERNATIONALER RECHERCHENBERICHT

Eingegangen

15. April 2002

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Aktenzeichen des Anmelders oder Anwalts	WEITERES	siehe Mitteilung über d						
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PCT/DE 01/02077 (Tag/Monat/Jahr) 23/05/2001								
Anmelder			<del></del>					
INFINEON TECHNOLOGIES AG								
Dieser internationale Recherchenbericht wurd Artikel 18 übermittelt. Eine Kopie wird dem Int			rstellt und wird dem An	melder gemäß				
Dieser internationale Recherchenbericht umfa	Stinsnesamt 3	Blätter.						
X Darüber hinaus liegt ihm jew	_		Unterlagen zum Stand	der Technik bei.				
	·							
1. Grundlage des Berichts		•						
<ul> <li>a. Hinsichtlich der Sprache ist die inter durchgeführt worden, in der sie einge</li> </ul>								
Die internationale Recherche Anmeldung (Regel 23.1 b)) o		einer bei der Behörde ein	gereichten Übersetzun	g der internationalen				
<ul> <li>b. Hinsichtlich der in der internationaler Recherche auf der Grundlage des Se</li> </ul>			Aminosäuresequenz i	st die internationale				
in der internationalen Anmelo	dung in Schriflicher For	rm enthalten ist.						
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CLOUNGSHERSPERSTANDEN

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#### INTERNATIONALER RECHERCHENBERICHT

Internationales Aktenzeichen PCT/DE 01/02077

A. KLASSIFIZIERUNG DES ANMELDUNGSGEGENSTANDES IPK 7 G02B6/42 G02B6/293

Nach der Internationalen Patentklassifikation (IPK) oder nach der nationalen Klassifikation und der IPK

#### B. RECHERCHIERTE GEBIETE

Recherchierter Mindestprüfstoff (Klassifikationssystem und Klassifikationssymbole)

IPK 7 GO2B HO4B

Recherchierte aber nicht zum Mindestprüfstoff gehörende Veröffentlichungen, soweit diese unter die recherchierten Gebiete fallen

Während der internationalen Recherche konsultierte elektronische Datenbank (Name der Datenbank und evtl. verwendete Suchbegriffe)

EPO-Internal, PAJ, WPI Data

I C. ALS WESENTLICH ANGESEHENE UNTERLAGEN	C.	ALS	WESENTLICH	ANGESEHENE	UNTERLAGEN
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Kategorie*	Bezeichnung der Veröffentlichung, soweit erforderlich unter Angabe der in Betracht kommenden Teile	Betr. Anspruch Nr.
х ·	US 4 881 789 A (LEVINSON FRANK H) 21. November 1989 (1989-11-21)	1-5,11, 12,16, 19,20
٩	das ganze Dokument * idem *	6,15
x	EP 0 844 503 A (MATSUSHITA ELECTRIC IND CO LTD) 27. Mai 1998 (1998-05-27)	1,3,9, 11,12, 17,18
	Abbildungen 1,5,12,13,18,31 Spalte 13, Zeile 33 -Spalte 14, Zeile 32 Spalte 18, Zeile 57 -Spalte 20, Zeile 42	17,120
	Spalte 27, Zeile 13 - Zeile 44 Spalte 28, Zeile 28 -Spalte 29, Zeile 34 Spalte 32, Zeile 40 - Zeile 50	
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- X Siehe Anhang Patentfamilie
- \* Besondere Kategorien von angegebenen Veröffentlichungen
- 'A' Veröffentlichung, die den allgemeinen Stand der Technik definiert, aber nicht als besonders bedeutsam anzusehen ist
- 'E' älteres Dokument, das jedoch erst am oder nach dem internationalen Anmeldedatum veröffentlicht worden ist
- 'L' Veröffentlichung, die geeignet ist, einen Prioritätsanspruch zweifelhaft erscheinen zu lassen, oder durch die das Veröffentlichungsdatum einer anderen im Recherchenbericht genannten Veröffentlichung belegt werden soll oder die aus einem anderen besonderen Grund angegeben ist (wie ausgeführt)
- \*O\* Veröffentlichung, die sich auf eine mündliche Offenbarung,
- eine Benutzung, eine Ausstellung oder andere Maßnahmen bezieht P Veröffentlichung, die vor dem internationalen Anmeldedatum, aber nach dem beanspruchten Prioritätsdatum veröffentlicht worden ist
- \*T\* Spätere Veröffentlichung, die nach dem internationalen Anmeldedatum oder dem Prioritätsdatum veröffentlicht worden ist und mit der Anmeldung nicht kollidiert, sondern nur zum Verständnis des der Erlindung zugrundeliegenden Prinzips oder der ihr zugrundeliegenden Theorie angegeben ist
- "X" Veröffentlichung von besonderer Bedeutung; die beanspruchte Erfindung kann allein aufgrund dieser Veröffentlichung nicht als neu oder auf erfinderischer Tätigkeit beruhend betrachtet werden
- "Y" Veröffentlichung von besonderer Bedeutung; die beanspruchte Erfindung kann nicht als auf erfinderischer T\u00e4tigkeit beruhend betrachtet werden, wenn die Ver\u00f6fentlichung mit einer oder mehreren anderen Ver\u00f6fentlichungen dieser Kategorie in Verbindung gebracht wird und diese Verbindung f\u00fcr einen Fachmann naheliegend ist
- \*&\* Veröffentlichung, die Mitglied derselben Patentfamilie ist

Absendedatum des internationalen Recherchenberichts

Datum des Abschlusses der internationalen Recherche

16/04/2002

3. April 2002

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Name und Postanschrift der Internationalen Recherchenbehörde Europäisches Patentamt, P.B. 5818 Patentlaan 2 NL – 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl. Fax: (+31-70) 340-3016

Mathyssek, K

Bevollmächtigter Bediensteter

Formblatt PCT/ISA/210 (Blatt 2) (Juli 1992)

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#### INTERNATIONALER RECHERCHENBERICHT

Internationales Aktenzeichen
PCT/DE 01/02077

Kategorie	ung) ALS WESENTLICH ANGESEHENE UNTERLAGEN  Bezeichnung der Veröffentlichung, soweit erforderlich unter Angabe der in Betracht kommenden Teile	Betr. Anspruch Nr.
	·	
X	US 4 549 782 A (MILLER STEWART E) 29. Oktober 1985 (1985-10-29) das ganze Dokument	1,10
A	US 4 776 660 A (MAHLEIN HANS F ET AL) 11. Oktober 1988 (1988-10-11) Spalte 1, Zeile 8 -Spalte 3, Zeile 34 Spalte 7, Zeile 11 -Spalte 9, Zeile 41 Abbildung	1-4,19
A	US 4 611 884 A (ROBERTS HAROLD) 16. September 1986 (1986-09-16) Abbildungen Spalte 2, Zeile 57 -Spalte 5, Zeile 68	1,4,9, 13,14,16
A	EP 1 008 876 A (LITTON SYSTEMS INC) 14. Juni 2000 (2000-06-14) Spalte 4, Zeile 45 -Spalte 9, Zeile 57	1,2,4, 11,12,16
A	US 5 841 562 A (RANGWALA SABBIR S ET AL) 24. November 1998 (1998-11-24) das ganze Dokument	1-6,13, 14,16
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